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Integration of Laser Vibrometry with Infrared Video for Multimedia Surveillance Display

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## 14. ABSTRACT

Laser Doppler vibrometer (LDV) is a non-contact, remote and high resolution voice detector. Vibration of the objects caused by voice reflects the voice itself. After the enhancement with Gaussian bandpass filtering and the adaptive volume scaling, the LDV voice signals were mostly intelligible from targets without retro-reflective finishes at short or medium distances (< 100m). By using retro-reflective tapes, the distance could be as far as 300 meters. Infrared (IR) imaging for target selection and localization was also discussed for LDV listening. A system has been set up with three types of sensors (IR cameras, PTZ color cameras and LDVs) for performing integration of multimedia sensors in human signature detection. The basic idea is to provide an advanced augmented interface in order to give users the best cognitive understanding of the environment, the sensors and the events.

However, without retro-reflective tape treatment, the LDV voice signals were still very noisy from targets at medium and large distances. Therefore, with the state-of-the-art sensor technology, more advanced signal enhancement techniques are needed. Further sensor improvement is also necessary. In addition, automatic targeting and intelligent refocusing is a technical issue that deserves research attention for long range LDV listening.

## 15. SUBJECT TERMS

- Laser vibrometry
- Clandestine listening
- Multimedia integration
- Audio signal enhancement
- Infrared video surveillance

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# Table of Contents

Acknowledgements 3

1. Introduction 4
2. Overview: A Multimedia Integration Approach 5
3. Multimedia Sensors 7
   3.1 The LDV sensor 7
   3.2. Infrared camera 9
   3.3. PTZ camera 10
4. Laser Doppler Vibrometer: Principle and Applications 11
5. LDV Audio Signal Enhancement 14
   5.1. The Gaussian bandpass filter 15
   5.2. Volume selection and adaptation 19
6. Experiment Designs and Analysis 21
   6.1. Real data collections 21
   6.2.1. Experiments on long range LDV listening 22
   6.2.2. Experiments on listening through walls/doors/windows 23
   6.2.3. Experiments on talking inside cars 24
   6.2.4. Experiments on types of surfaces 26
   6.2.5. Experiments on surface directions 27
   6.2. LDV performance analysis 28
7. Discussions on Sensor Improvements and System Integration 32
   7.1. Further research issues in LDV acoustic detection 33
   7.2. Multimodal integration and intelligent targeting and focusing 36
8. Conclusions 36
9. References 37
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1. Introduction

Recent improvements in laser vibrometry [1-6] and day/night IR imaging technology [15] have created the opportunity to create a long-range multimedia surveillance system. Such a system would have day and night operation. The IR video system would provide the video surveillance while allowing the operator to select the best target for picking up audio detectable by the laser vibrometer. This multimedia capability would greatly improve security force performance through clandestine listening of targets that are probing or penetrating a perimeter defense. The targets may be aware that they are observed but most likely would not infer that they could be heard. This system could also provide the feeds for advanced face and voice recognition systems.

Laser Doppler vibrometers (LDV) such as those manufactured by Polytec™ [2] and B&K Ometron [3] can effectively detect vibration within two hundred meters with a sensitivity on the order of 1µm/s. These instruments are designed for use in laboratories (0-5 m working distance) and field work (5-200 m) [2-7]. For example, these instruments have been used to measure the vibrations of civil structures like high-rise buildings, bridges, towers, etc. at distances of up to 200m. However, for distances above 200 meters, it will be necessary to treat the target surface with retro-reflective tape or paint to ensure sufficient retro-reflectivity. At distances beyond 200m and under field conditions, the outgoing and reflected beam will pass through medium with different temperatures and thus different reflective coefficients. Another difficulty is that such an instrument uses a front lens to focus the laser beam on the target surface in order to minimize the size of the measuring point. At 200m the spot size is 12mm and very weak. At 1,000m the spot diameter would be 63mm and extremely weak. At a distance above 200 m, the speckle pattern of the laser beam induces noise and signal dropout will be substantial [8]. Finally, the visible laser beam is good for a human to select a target, but it is not desirable for a clandestine surveillance application.

The overall goal of this project is on an advanced multimedia interface for human effectiveness in using the state-of-the-art sensing technologies for perimeter surveillance. We believe that in the foreseeable future of these technologies, human involvement in all the three stages – sensors, alarm, and response - is still vital for a successful surveillance system. Meanwhile, we fully realize that the capabilities of sensors - infrared (IR) cameras, visible (EO) cameras, and the laser vibrometers (LDVs) in our study - are critical to surveillance tasks. IR and EO cameras have been widely used in human and vehicle detection in traffic and surveillance applications. However, literature on remote acoustic detection using LDVs is rare. Therefore, in this one year project we have mainly focused on the experimental study of LDV-based voice detection, and this will be the main focus of this report. We have also set up a system with all three types of sensors for performing integration of multimedia sensors in human signature detection. This report also briefly discusses how we can use IR/EO imaging for target selection and localization for LDV listening.

This report is organized as follows. First, we give an overall picture of our technical approach: the human-centered technology paradigm for the integration of laser Doppler vibrometry and IR imaging for multimedia surveillance display. The basic idea is to provide an advanced virtualized-reality based interface of the site (e.g., air base) to give the operator the best cognitive understanding of the environment, the sensors, and the events. One of the important issues is how to use IR imaging to help the laser Doppler vibrometer to select the appropriate targets.
Then, we discuss various aspects of LDVs for voice detection: basic principles and problems, signal enhancement algorithms, and experimental designs. We focus on the study of the humancentered technology for LDV sensor information enhancement and clandestine listening. We investigate the performance of the laser Doppler vibrometer on two types of targets: fixed facilities in the environment that vibrate with humans and/or vehicles nearby, and the human subjects themselves. We have designed a graphic human computer interface for signal analysis, signal filtering, and signal synthesis. The graphic interface helps a user to understand the relation between the signals and noises in term of magnitudes and frequencies, and by signal synthesis (i.e. speech synthesis from the filtered laser Doppler vibrometry signals), the user can adaptively pick up the useful signals.

Speech enhancement algorithms are applied to improve the performance of recognizing a noisy voice detected by the LDV system. The detected speech signal may be corrupted by more than one noise source, such as laser photon noises, target movements, and background acoustic noises (wind, engine sound, etc.). Many speech enhancement algorithms have been proposed [9-12], but they have been mainly used for improving the performance of speech communication systems in noisy environments. Acoustic signals captured by laser vibrometers need special treatment.

The laser Doppler vibrometer strongly depends the reflectance properties of the surfaces of the target. Important issues like target surface properties, size and shape, distance from the sensors, sensor installation, and calibration strategy are studied through several sets of indoor and outdoor experiments. By doing this study, we have gained a better understanding of the LDV performance, which could guide us for improving the LDV sensors. We provide a brief discussion on some future work in LDV sensor improvements and multimedia human signature detections.

We envision that the integration of the IR imaging and laser Doppler vibrometry will provide a multimedia display to the user with spatial coherent environment, enhanced video and audio presentation, and rapid target localization capabilities via the technologies of augmented reality, video-audio registration, information filtering / enhancement, and automatic target detection / listening. Ultimately, this goal could be achieved for kilometer long-range surveillance. In this one-year project, this research provides a feasibility study of multimedia integration and visualization solutions with the state-of-the-art sensors.

2. Overview: A Multimedia Integration Approach

There are three main components in our approach of multimedia human signature detection (Figure 1, Figure 2): the IR/EO imaging video surveillance component, the LDV audio surveillance component, and the human-computer interaction components. Both the IR/EO and LDV sensing components can support day and night operation even though it will be better to use a standard EO camera (coupled with the IR camera) to perform the surveillance task during daytime. The overall approach is the integration of the IR/EO imaging and LDV audio detection for a long-range surveillance task. The integration has the following three steps.

Step 1. Target detection, tracking, and selection via the IR/EO imaging module. The targets of interest could be humans or vehicles (driven by humans). This will be performed by motion detection and human/ vehicle segmentation methods.
Step 2. Audio targeting and detection by the LDV audio module. The audio signals could be human voices or vehicle engine sounds. We mainly consider the human voice detection. The main issue is to select the LDV targeting points provided by the IR/EO imaging module to detect the vibration caused by human voices.

Step 3. Face/vehicle shot of best view capture by the feedback from audio detection. By using the audio feedback, the IR/EO imaging module can verify the existence of humans and capture the best face shots for face recognition. Together with the voice recognition module, the surveillance system could further perform human identification and event understanding.

An important concept is to design a human-computer interface for the human-centered multimedia surveillance. Human involvement in all the three stages – sensors, alarm, and response – is vital for a successful surveillance system. Figure 2 shows the human-computer interaction (HCI) synopsis for human-in-the-loop surveillance operation with augmented reality (AR) visualization, target selection, signal extraction and enhancement, and human identification.
3. Multimedia Sensors

For enabling the study of the multimedia sensor integration for human signature detection, we have acquired the following sensors: a Laser Doppler Vibrometer (LDV) OFV-505 from Polytec, a ThermoVision A40M infrared camera from FLIR, and a Canon color/near IR pan/tilt/zoom (PTZ) camera. The FLIR ThermoVision A40M IR camera and the Canon PTZ camera VC-C50i were purchased under the funding this project, and the Polytec LDV was purchased with a matching funding through a CUNY Equipment Competition Award. We will briefly list the main characteristics of each of them in the following paragraphs.

3.1 The LDV sensor

The Laser Doppler Vibrometer from Polytec [2] includes a controller OFV-5000 with a digital velocity decode card VD-6 and a sensor head OFV-505 (Figure 3). We also acquired a telescope VIB-A-P05 for accurate targeting at large distances. The sensor head uses a particular helium neon red laser with wavelength of 633 nm and is equipped with a super long-range lens. It sends the interferometry signals to the controller, which is connected to the computer via an RS-232 port. The controller box includes a velocity decoder VD-06, which processes signals received from the sensor head. There are a number of output signal formats from the controller, including an S/P-DIF output and digital and analogue velocity signal outputs.
To receive and to process the signal from the controller, we use a low-cost Audigy2 ZS audio card with built-in S/P-DIF I/O interface on the console of the computer. This audio card can receive the digital signals from the controller and play them back through the audio outputs on the console machine. It can also save the received signals as audio files, e.g., in MP3 or WAV format. The main features of the LDV sensor and the accessories are listed as follows:

- **Sensor Head OFV-505**
  - HeNe (Helium-Neon) laser, $\lambda=632.8$ nm, power $<1$ mW
  - OFV-SLR lens ($f=30$ mm) 1.8 m – 200+ m, automatic focus
  - “Any” surface

- **Controller OFV-5000**
  - Low pass (5, 20, 100 kHz), high pass (100Hz), tracking filters
  - RS-232 interface for computer control

- **Velocity Decoder VD-06**
  - Ranges: 1, 2, 10 and 50 mm/s/V
  - Resolution 0.02 $\mu$m/s under 1mm/s/V range (2mv/20V)
  - 350 kHz bandwidth analog output
  - 24 bit, 96 kHz max. digital output on S/P-DIF interface

- **Telescope VIB-A-P05**
  - +/-1° vertical tilt and +/-1.5° horizontal tilt
  - HeNe interference filter gives improved visibility

We also developed a software system, called LDVProject (LDVProject.jar), to configure the controller and process the received LDV digital signals for audio play (Figure 4). This system communicates with the controller via the RS-232 interface by sending commands to the controller to change the device parameters and to monitor the status of the device. This system
also has integrated some LDV signal processing and enhancement components, which will be described in Chapter 4.

Figure 4. LDV control interface

3.2. Infrared camera

The FLIR ThermoVision A40M IR camera has the following features that make it suitable for human and vehicle detection:

- **Temp Range** of -20°C to 500°C, **accuracy (% of reading)** ± 2°C or ± 2%
- 320 x 240 Focal Plane Array with Uncooled Microbolometer Detector, **spectral range** 7.5 to 13 µm
- 24° FOV Lens, **spatial resolution** 1.3 mrad and with built-in focus motor
- **Firewire Output** - IEEE-1394 8/16-bit monochrome & 8-bit color
- **Video output** - RS170 EIA/NTSC or CCIR/PAL composite video for monitoring on a TV screen
- Keyboard Interface for easy on-site control of the camera
- ThermoVision Systems Developers Kit (C++) for software development
Figure 5(1). A person sitting in a dark room can be clearly seen in the IR image, and the temperature can be accurately measured. The reading of the temperature at the cross (Sp1) on the face is 33.1°C.

Figure 5(2). Two IR images before and after a person standing at about 200 feet. The reading of the temperature at the cross (Sp1) changes from 11°C to 27°C. The corresponding color images with the person in the scene are shown in Figure 6.

Figure 5(1) shows an example where a person sitting in a dark room can be clearly detected by the FILR ThermoVision far-infrared camera. Furthermore, the accurate temperature measurements also provide important information for discriminating human bodies from other hot/warm objects. After the successful detection of humans, objects, such as the doors or walls in this example, can be searched in the environment whose vibration with audio waves could reveal what the persons might be speaking. Note that the FILR ThermoVision IR camera is a far-infrared thermal camera. It does not need to have active IR illumination, and it is suitable for detecting humans and vehicles at a distance (Figure 5(2)).

3.3. **PTZ camera**

The state-of-the-art, computer controllable pan/tilt/zoom (PTZ) camera is also ideal for human and other target detection at a large distance. The Canon PTZ camera we acquired has the following properties:

- 26X optical zoom lens & 12X digital zoom
- 1/4" 340,000 pixel CCD
- Pan: +/-100º, Tilt: +90/-30º
- Minimum Subject Illumination 1 Lux
  (1/30 second shutter speed)
- Motorized infrared (IR) cut filter on/off
- Built-in IR light (effective up to 9 feet).
- BNC video output
- RS-232 computer control interface
- Compact and lightweight at only 14.3 oz

Figure 6 shows two images of the same scene with two different camera zoom factors. The built-in IR light will not work for long distances. However, since the camera can sense near-IR waves, a LDV with near IR laser can be seen by this kind of camera for IR laser based LDV targeting.

![Figure 6. Two images of a person at a distance of about 200 feet, captured by changing the zoom factors of the PTZ camera.](image)

### 4. Laser Doppler Vibrometer: Principle and Applications

Laser Doppler vibrometers (LDVs) work according to the principles of laser interferometry. Measurements are made at the point where the laser beam strikes the structure under vibration. In the Heterodyning interferometer (Figure 7), a coherent laser beam is divided into object and reference beams by a beam splitter BS1. The object beam strikes a point on the moving (vibrating) object and light reflected from that point travels back to beam splitter BS2 and mixes (interferes) with the reference beam at beam splitter BS3. If the object is moving (vibrating), this mixing process produces an intensity fluctuation in the light. Whenever the object has moved by half the wavelength, $\lambda/2$, which is 0.3169 µm (or 12.46 micro inches) in the case of HeNe laser, the intensity has gone through a complete dark-bright-dark cycle. A detector converts this signal
to a voltage fluctuation. The Doppler frequency $f_D$ of this sinusoidal cycle is proportional to the velocity $v$ of the object according to the formula

$$f_D = 2 \cdot \frac{v}{\lambda} \quad (1)$$

Instead of detecting the Doppler frequency, the velocity is directly obtained by a digital quadrature demodulation method [1, 2]. The Bragg cell, which is an acousto-optic modulator to shift the light frequency by 40 MHz, is used for identifying the sign of the velocity.

![Figure 7](image)

**Figure 7**. The modules of the Laser Doppler Vibrometer (LDV)

Most objects vibrate while wave energy (including voice waves) is applied on them. Though the vibration caused by the voice energy is very small compared with other vibration, this tiny vibration can be detected by the LDV. Voice frequency $f$ ranges from about 300 Hz to 3000 Hz. Velocity demodulation is better for detecting vibration with higher frequencies because of the following relation of velocity, frequency, and magnitude of the vibration:

$$v = 2\pi fm \quad (2)$$

Note that the velocity $v$ will be large with a large frequency $f$, even under a small magnitude $m$. The Polytec LDV sensor OFV-505 and the controller OFV-5000 can be configured to detect vibrations under several different velocity ranges: 1 mm/s/V, 2 mm/s/V, 10 mm/s/V, and 50 mm/s/V, where V stands for velocity. For voice vibration, we usually use the 1 mm/s/V velocity range. The best resolution is 0.02 $\mu$m/s under 1 mm/s/V range, according to the manufacture’s specification (with retro-tape treatment). Without retro-tape treatment, the LDV still has a sensitivity on the order of 1 $\mu$m/s. This indicates that the LDV can detect vibration (due to voice waves) at a magnitude as low as $m = v/2\pi f = 1/(2*3.14*300) \approx 0.5 \mu$m. Note that voice waves are in a relative low frequency range. The Polytec OFV-505 LDV sensor that we have is capable of detection vibration with a much higher frequency (up to 350 KHz).

There are two important issues to consider in order to use an LDV to detect the vibration of a target caused by human voices. First, the target vibrates with the voices. Second, points on the surface of the target where the laser beam hits reflect the laser beam back to the LDV. We call
such points **LDV targeting points**, or simply **LDV points**. Therefore, the LDV points selected for audio detection could be the following three types of targets (Figure 8).

![Figure 8. Target selection and multimedia display. The laser Doppler vibrometer (LDV) can measure audio signals from tiny vibrations of the LDV points (indicated by the beams and the red dots onto the objects in the figure) that couple with the audio sources.](image)

(1) **Points on a human body.** For example, the throat of a human will be one of the most obvious parts where the vibration with the speech could be detected by the LDV. However, we have found that it is very challenging since it is “uncooperative”: (a) it is not easily targeted, especially when the human is moving; (b) it does not have a good reflective surface for the laser beam, and therefore a retro-reflective tape has to be used; (c) the vibration of the throat only includes the low frequency parts of the voice. For these reasons, our experiments will mainly focus on the remaining two types of targets.

(2) **Points on a vehicle with humans within.** Human voice signals vibrate the body of a vehicle, which could be readily detected by the LDV. Even if the engine is on and the volume of the speech is low (e.g., in cases of whispering), we could still extract the human voice by signal decomposition since the human voice and engine noise have different frequency ranges. However, even if the vehicle is stationary we have found that the body of the vehicle basically does not reflect the HeNe laser suitably for our purposes without applying retro-reflective tape. With retro-tape, the signal returns with LDV are excellent when the targets (cars) are at various distances (10 to 50 meters in our experiments) and also with a large range of incident angles of the laser beam. It is even more challenging to detect the voice when the vehicle is moving.

(3) **Points in the environment.** For perimeter surveillance, we can use existing facilities or install special facilities for human audio signal detection. Facilities like walls, pillars, lamp posts, large bulletin boards, and traffic signs vibrate very well with human voices, particularly during the relative silence of night. Note that a LDV has a sensitivity on the order of $1 \mu m/s$, and can therefore pick up very small vibrations. We have found that most objects vibrate with voices, and many types of surfaces reflect the LDV laser beam within some distance (about 10 meters). Response is even better if we can paint or paste certain points of the facilities with retro-reflective tapes or paints; operating distances can increase to 300 meters (1000 feet) or more.
5. LDV Audio Signal Enhancement

Before we describe our experiment designs and data collection, we will first introduce our algorithms for LDV voice signal enhancement since we will need to analyze and present the results of the collected data using the designed algorithms.

For the human voice, the frequency range is about 300 Hz to 3 KHz. However, the frequency response range of the LDV is much wider than that. Even if we have used the on-board digital filters, we still get signals that include troublesome large, slowly varying components corresponding to the slow but significant background vibrations of the targets. The magnitudes of the meaningful acoustic signals are relatively small, adding on top of the low frequency vibration signals. This prevents the intelligibility of the acoustic signals by human ears. On the other hand, the inherent “speckle pattern” problem on a normal “rough” surface and the occlusion of the LDV laser beam (by passing-by objects) introduce noises with large and high-frequency components into the LDV measurements (Figure 9). This creates very high and loud noise when we directly listen to the acoustic signal. Therefore, we have applied a Gaussian bandpass filter to process the vibration signals captured by the LDV. In addition, the volumes of the voice signals may change dramatically with the changes of the vibration magnitudes of the target due to the changes of speech loudness (shouting, normal speaking, whispering) and the distances of the human speakers to the target. Therefore, we have also designed an adaptive volume function to cope with this problem. Figure 9 shows two real examples of these two types of problems.

![Figure 9](image)

(a) “Hello…Hello”

(b) “I am whispering…(high frequency noise)… OK … Hello (high frequency noise)”

Figure 9. Two real examples of LDV acoustic signals with both low and high frequency noises. The audio files can be played by clicking the corresponding speaker icons. (a) “Hello…Hello” on top of a low frequency background component from the air conditioning machine. (b) “I am whispering…(high frequency noise)… OK … Hello (high frequency noise)” with both low and high frequency noises. The volumes of voice changed from whispering to normal to shouting. While the first audio clip is still audible, the second one is almost impossible to hear without enhancement.
5.1. The Gaussian bandpass filter

We can produce the Gaussian bandpass transfer function by expressing it as the difference of two Gaussians of different widths, as has been widely used in image processing [13], i.e.

\[ H(s) = B e^{-s^2/2\alpha_2^2} - A e^{-s^2/2\alpha_1^2}, \quad B \geq A, \quad \alpha_2 > \alpha_1 \]  \hspace{1cm} (3)

Figure 10 shows the function. The impulse response of this filter is given by

\[ h(t) = \frac{B}{\sqrt{2\pi \sigma_2^2}} e^{-t^2/2\sigma_2^2} - \frac{A}{\sqrt{2\pi \sigma_1^2}} e^{-t^2/2\sigma_1^2}, \quad \sigma_i = \frac{1}{2\pi \alpha_i} \]  \hspace{1cm} (4)

Figure 10. The Gaussian bandpass filter transfer function

Figure 11. The Gaussian bandpass filter impulse response
Notice that the broader Gaussian in the frequency domain (Figure 10) creates a narrower Gaussian in the time domain (Figure 11), and vice versa. We want to reduce the signal magnitude outside the frequency range of human voices, i.e., below $s_1 = 300$ Hz and above $s_2 = 3K$ Hz. The high frequency reduction is mainly controlled by the width of the first (the broader) Gaussian function in Eq. (3), i.e., $\alpha_2$, and the low frequency reduction is mainly controlled by the width of the second Gaussian function, i.e., $\alpha_1$. Since the Gaussian function drops significantly when $|s_i| > 2\alpha_i$, (i=1, 2), as shown by a pair of ‘∗’s and a pair of ‘+’s in Figure 10, respectively, we obtain the widths of the two Gaussian functions in the frequency domain as

$$\alpha_i = s_i / 2 \text{ (Hz)}, \quad i = 1, 2$$  \hspace{1cm} (5)

In practice, we process the waveform directly in the time domain, i.e., by convolving the waveform with the impulse response in Eq. (4). This leads to a real-time algorithm for LDV voice signal enhancement. For doing this, we need to calculate the variances of the two Gaussian functions in the time domain. Combining Eq. (4) and Eq. (5) we have

$$\sigma_i = \frac{1}{\alpha_i} \text{ (seconds)}, \quad i = 1, 2$$  \hspace{1cm} (6)

For digital signals, we need to determine the size of the convolution kernel. Since the narrower Gaussian (with width $\alpha_1$) in the frequency domain creates a broader Gaussian (with width $\sigma_1$) in the time domain, we use $\sigma_1$ to estimate the appropriate window size of the convolution. Again, we truncate the impulse function when we have $t > 2\sigma_1$. Therefore, the size of the Gaussian bandpass filter is calculated as

$$W_1 = 2m(2\sigma_1) + 1 = \frac{4m}{\alpha_1} + 1$$  \hspace{1cm} (7)

where $m$ is the sampling rate of the digital signal. Typically, we use $m = 48$ K samples/second with the S/P-DIF format. Therefore, the size of the window will be $W_1 = 210$. The size of the convolution kernel is marked by a pair of ‘∗’s in Figure 11.

As noted in [10], most speech enhancement systems improve the quality of the signal (i.e. reduce the noise level) at the expense of reducing its intelligibility. Listeners can usually extract more information from the noisy signal than from the enhanced signal by carefully listening to that signal, since by filtering, some of the useful acoustic signal components are also reduced. We first look at the high frequency reduction issue. In some cases, reducing frequency above $s_2 = 3K$ Hz will obviously reduce the level of the high frequency noise, particularly for some very short time noises brought in by a passing vehicle or a person in front of the LDV, where very high frequency “screaming” noise will be generated (e.g. Figure 9b). Without high frequency reduction, listeners experience fatigue over extended listening sessions, a fact that results in reduced intelligibility of the noisy signal. This can be demonstrated by listening to a pair corresponding audio clips before and after high-frequency reduction in Figure 15. In some other cases, high frequency reduction will make the speech “heavy”, and sometimes bring in some other high frequency noise due to the digital processing of the filtering (e.g., truncation of the Gaussian window). An example is shown in Figure 14.
Now let us look at the low-frequency reduction problem. Different from the common speech communication systems, our speech signals are captured by a vibrometer, which in many of the cases have significantly low “signal-to-noise-ratio”, i.e. very high magnitudes of the low-frequency background vibration “noises” due to wind, the engine of a vehicle, or an air conditioning machine, and also relatively low magnitudes of the vibration measurements due to the inherent qualities of speech (Figure 9). In some cases, it is not possible for the listener to perceive the vibration signals as speech (Figure 9b). However, in some of the cases where the reflection of the LDV laser beam is perfect and the low frequency vibration magnitudes are low or the voice components are comparable to the background vibration (Figure 9a), the intelligibility will be better without low-frequency reduction, and the computation in filtering will be much less expensive (as will be shown below).

Therefore, in our current implementation, the user has the control over the high-frequency reduction and/or the low-frequency reduction by enabling/disabling the low-pass (LP) filter and the high-pass (HP) filter, and also by selecting an appropriate frequency range (Figure. 12). We are also working on algorithms that can automatically analyze original LDV signals and then determine what is the appropriate range of the band-pass filter. In practice, with only one of them on we could simplify the computation. Without high-frequency reduction, we have $\alpha_2$ approaching infinity, and therefore the narrower Gaussian in the time domain narrows down to an impulse and the filter has the form shown in Figure 13. In this case, the impulse response becomes
\[ h(t) = B \delta(t) - \frac{A}{\sqrt{2\pi}\sigma_1^2} e^{-t^2/2\sigma_1^2}, \quad \sigma_1 = \frac{1}{2\alpha_1} \] (8)

The result of the processed signal is simply the original signal subtracted by the result of the Gaussian low-pass filter, with variance \( \sigma_1 \). However, the window size in convolution is still \( W_1 \) in Eq. (6), and therefore there is no significant benefit in terms of computational cost.

On the other hand, without low-frequency reduction the band-pass filter becomes a Gaussian low-pass (LP) filter with variance \( \sigma_2 \). In this case the window size in convolution becomes

\[ W_2 = 2m(2\sigma_2) + 1 = \frac{4m}{s_2} + 1 \] (9)

which is much narrower and more computationally efficient. For example, when \( m = 48 \) K samples/second and \( s_2 = 3K \) Hz, we have \( W_2 = 21 \). The size of the window is marked by a pair of ‘+’s in Figure 11.

A real example of Gaussian bandpass filtering is shown in Figure 14, with different combinations of the two filters (low-reduction and high-reduction). The corresponding audio clips can be played by following the links in the sub-captions.
Figure 14. The waveform and the corresponding spectrograms of (a) Original signal (no filter) and (b) Gaussian high-pass (i.e. low-reduction) filter and (c) Gaussian low-pass (i.e. high-reduction) filter and (d) Gaussian band-pass filter. In each picture, both the waveform and the corresponding spectrogram are shown. The spectrograms are generated when the FFT window is 1024 samples, or 21.3 ms as the sampling rate is 48 K samples/second. We use s1 = 300 Hz for low-reduction and s2 = 3000 Hz for high-reduction in this example.

5.2. Volume selection and adaptation

The useful original signal obtained from the S/P-DIF output of the controller is a velocity signal. When treated as the voice signal, the volume is too small to be heard by human ears. When volumes of the voice signals change dramatically within an audio clip, a fixed volume increase cannot lead to clearly audible playback. Therefore, we have designed an adaptive volume algorithm. For each frame, for example of 1024 samples, the volumes are scaled by the following equation:

\[ v = \frac{C_{\text{max}}}{\max(x_1, x_2, ..., x_n)} \]  

(10)

where \( v \) is the scale factor of the volume, \( C_{\text{max}} \) is the maximum constant value of the volume (defined as the largest short integer, i.e., 32767), and \( x_1, x_2, ..., x_n \) are sample data in one speech frame (e.g. \( n = 1024 \) samples). The scaled sample data stream, \( v x_1, v x_2, ..., v x_n \), will then be played via a speaker so that a suitable level of voice will be heard.

An alternative way of playing this original signal is simply to multiply the sampled data by a constant scale value, e.g., 8 or 16. The advantage of this approach is that we can hear a smoother voice than that of the adaptive method. However, the adaptive method will always give a suitable volume for any kind of the sampled data stream. Actually, in our software system, both methods are implemented, and the user can choose either method on the fly. Figure 15 shows a real
example of filtering and scaling. In this example, the best performance of the filtering is obtained with only the low-reduction filter (Figure 15e).

Figure 15. The waveform of the original signal and the results of fixed scaling and adaptive scaling, after using suitable filtering. The short audio clip reads “I am whispering...(noise)... OK ... Hello (noise)”, which was captured by the LDV OFV-505 from a metal cake-box carried by a person at a distance of about 30 meters from the LDV. The surface of the target was treated by a piece of retro-tape. The complete audio clip can be found in the following section in the distance experiment (Table 1 OA-30M and PA-30M).
6. Experiment Designs and Analysis

In order to use a LDV to detect audio signals from a target, the target needs to meet two conditions: reflection to HeNe laser and vibration with voices. Due to the difficulty in detecting voice vibration directly from the body of a human speaker, we mainly focus on the use of targets in the environments nearby the human speaker. We have found that the vibration of most objects in man-made environments caused by waves of voices can be readily detected by the LDV. However, the LDV must get signal returns from the laser reflection. The degrees of signal returns depend on the following conditions:

1. Surface normal vs. laser beam direction;
2. Color of the surface with spectral response to 632.8 nm, and
3. Roughness of the surfaces – the inherent speckle pattern problem of the laser reflection greatly affects the signal to noise ratio (SNR).
4. The distance from the sensor head to the target.

Retro-reflective traffic tapes or paints are a perfect solution to the above reflection problems if the targets are “cooperative”. That is to say, if the surfaces of targets can be treated by such tapes or paints. The traffic retro-reflective tapes (retro-tapes) are capable of diffuse reflection in that they reflect the laser beam back in all directions within a rather large angular range. In the following, we will present the experimental results first in real environments and then in somewhat controlled environments.

6.1. Real data collections

We have performed experiments with the following settings: types of surface, surface directions, long-range listening, through-wall listening, and talking inside of cars. In all experiments, the LDV velocity range is 1 mm/s/V, and a person’s speech describes the experiment configurations. A walkie-talkie is used for remote communication only. With each example, we provide links for both the original LDV audio clip and the processed audio clip. The same configurations (band-pass 300 – 3000 Hz, adaptive volume) are used in processing the data for all the experiments, unless indicated. Each audio clip should tell you most of the information for the experiment if it is intelligible. Typically, the duration of each clip is about 1 to 3 minutes. Sometimes the signals start with a period of noises (no speaking), but all of them should include large portions of meaningful voices. Note that we only include original data and the corresponding processed data with one fixed configuration of filtering. The original clips have very low volume so you usually cannot hear anything meaningful; on the other hand, the processed audio clips are not optimal at all for intelligibility. A java program (LDVProject.jar) is included with this report, which can be interactively tuned in real-time to get the best intelligibility of the enhanced LDV audio signals. It is recommended that the readers run the program, open an audio clip, and change the filtering and volume configurations to both view the waveforms and to hear the audio output.
6.2.1. Experiments on long range LDV listening

We tested the long range LDV listening in an open space in Amherst MA, with various distances from about 30 to 300 meters (100 ft to 1000 ft). A small metal cake box with retro-tape finish was fixed in front of the speaker’s (Prof. Zhu’s) belly. The signal return of the LDV is insensitive to the incident angles of the laser beam thanks to the retro-tape finish. Both normal speech volumes and whispers have been successfully detected. The size of the laser spot changed from less than 1 mm to about 5-10 mm when the range changed from 30 to 300 meters. The noise levels also increased from 2 mV to 10 mV out of the total range of 20 V analog LDV signal. The movements of the body during speaking may cause the laser beam to briefly stray off the tape, so large noises could be heard in some places. Table 1 lists the original audio clips and the processed audio clips at three different distances. The 260-meter measurement was obtained when the target was behind trees/bushes. The changes of volumes of the speaker’s speech could be read by listening to the speech. With longer ranges, the laser is more difficult to localize and focus, and the signal return becomes weaker. Therefore, the noise levels become larger. Within 120 meters, the LDV voice is obviously intelligible; at 260-meter distance, many parts of the speech could be identified, even with some difficulty. For all the distances, the signal processing plays a significant role in making the speech intelligible. Without processing, the audio signal is buried in the low-frequency large-magnitude vibration and high-frequency speckle noises. We also want to emphasize that automatic targeting and intelligent refocusing is one of the important technical issues that deserves attention for long range LDV listening since it is extremely difficult to aim the laser beam at the target and keep it focused. We believe that our multimedia integration approach provides a feasible way to achieve this goal.

<table>
<thead>
<tr>
<th>Range</th>
<th>30 m</th>
<th>120 m</th>
<th>260 m</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original audio</td>
<td>OA-30M</td>
<td>OA-120M</td>
<td>OA-260M</td>
</tr>
<tr>
<td>Processed audio</td>
<td>PA-30M</td>
<td>PA-120M</td>
<td>PA-260M</td>
</tr>
<tr>
<td>Intelligibility</td>
<td>Good</td>
<td>Okay</td>
<td>Difficult</td>
</tr>
</tbody>
</table>

We have also tested the long range LDV listening in a corridor of a building at the City College (Figure 6) when Lt. Jonathan Lee at AFRL visited us. Table 2 shows two sets of audio clips when Lt. Lee talked to the same cake box nearby him, with and without retro-tape. The vibration target (the cake box) was at a distance of about 100 meters (about 340 ft) from the LDV sensor.
head. With the retro-tape, the speech is clearly intelligible. The significance of this experiment is that without the retro-tape, the speech is still intelligible from LDV detection of targets at a distance as far as 100 meters. However, it is very noisy. Therefore with the state-of-the-art sensor technology, we realize that more advanced signal enhancement techniques need to be developed than the simple band-pass filtering and adaptive volume scaling.

Table 2. Talking to a cake box 100 meters away, with and without retro-tape

<table>
<thead>
<tr>
<th>Retro-Tape</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original Audio Clip</td>
<td>OA_100T</td>
<td>OA_100N</td>
</tr>
<tr>
<td>Processed Audio Clip</td>
<td>PA_100T</td>
<td>PA_100N</td>
</tr>
<tr>
<td>Intelligibility</td>
<td>Good</td>
<td>Fair</td>
</tr>
</tbody>
</table>

6.2.2. Experiments on listening through walls/doors/windows

In the second set of experiments, we tested the LDV listening through walls and windows (Figures 17 and 18). In the experiments of LDV listening through walls, we tried several different cases: laser pointing to the wall and pointing to the door from a distance of about 30 ft away, with and without retro-tapes, vibrated by normal speech and whispering when the speaker was walking around in a 15x15 square room, facing towards or away from the targets. The original audio clips and the processed ones are listed in Table 3. We have found that the speech is quite intelligible via the wall or the door if retro-tape treatment is used, no matter whether the speaker talks in a normal voice or a whisper, is facing the target or not, or is close or some distance away. Without retro-tape, it is very hard to identify the speech, but we can definitely tell the audio clips include a person’s speech.

Table 3. Listening through walls/doors

<table>
<thead>
<tr>
<th>Target/speech/tape</th>
<th>Door/normal w/ tape</th>
<th>Door/whisper w/ tape</th>
<th>Wall/normal w/ tape</th>
<th>Wall/normal w/o tape</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original audio</td>
<td>OA-DNT</td>
<td>OA-DWT</td>
<td>OA_WNT</td>
<td>OA_WNN</td>
</tr>
<tr>
<td>Processed audio</td>
<td>PA-DNT</td>
<td>PA-DWT</td>
<td>PA_WNT</td>
<td>PA_WNN</td>
</tr>
<tr>
<td>Intelligibility</td>
<td>Good</td>
<td>Good</td>
<td>Fair to good</td>
<td>Okay to poor</td>
</tr>
</tbody>
</table>

Figure 17. Listening through walls – a person was speaking in a room behind the door (right), while the LDV was listening in other room through wall (left)
In the experiments of LDV listening through windows, we used the window frames as vibration targets while a person was speaking outside the house (Figure 18, Table 4). The LDV was inside the second floor of the house, several meters away from the window. The person spoke outside the house, close to the window. Since the window frames are treated with paints, the reflection is good, even though the signal return strength is less than half of that with tape (see the bars in back of the LDV sensor Figure 18). We have also tested listening via the window frame when the distance between the sensor head and the target was more than 20 meters (or 64 ft) away. The LDV voice detection almost has the same performance as this short-range example.

![Figure 18. Listening through windows – a person was speaking outside the house, close to a window, while the LDV was listening inside the room via the window frame. Left: without retro-tape; right: with retro-tape. The signal return strengths can be seen from the back of the sensor.](image)

<table>
<thead>
<tr>
<th>Target/Tape</th>
<th>Window frame/ No tape</th>
<th>Window frame/ retro-tape</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original audio</td>
<td>OA_WFN</td>
<td>OA_WDT</td>
</tr>
<tr>
<td>Processed audio</td>
<td>PA_WFN</td>
<td>PA_WDT</td>
</tr>
<tr>
<td>Intelligibility</td>
<td>Good</td>
<td>Good</td>
</tr>
</tbody>
</table>

### 6.2.3. Experiments on talking inside cars

It is very interesting to consider whether LDV can be used to detect voices from inside a vehicle in which intruders of a perimeter may hide, making plans that they feel nobody will know. In such cases, the car engine or music may be on and the persons may whisper quietly inside the car. We simulated such situations by using several cars and minivans at different distances, and we have tried to detect the vibration caused by human speech inside the car via various parts of the car, (e.g. front door, back truck, an object inside the car that can be seen through the windows of the car). In contrast to our initial assumption, an automobile body does not offer good retro-
reflection of the LDV laser beam for effective listening. Therefore, we have treated the targeting points of the vehicles with retro-reflective tapes. Table 5 shows some of the collected audio clips and the results after voice enhancement. We have the following observations:

![Image](image1.png)

Figure 19. Talking inside cars

<table>
<thead>
<tr>
<th>Target</th>
<th>Front door</th>
<th>Front door</th>
<th>Front door</th>
<th>Balloon inside</th>
</tr>
</thead>
<tbody>
<tr>
<td>Retro-tape</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Distance</td>
<td>15-20 m</td>
<td>15-20 m</td>
<td>50 m</td>
<td>15-20 m</td>
</tr>
<tr>
<td>Audio source</td>
<td>music/voice/engine</td>
<td>music/voice/engine</td>
<td>music/voice/engine</td>
<td>music/voice/engine</td>
</tr>
<tr>
<td>Audio volume</td>
<td>varying</td>
<td>varying</td>
<td>varying</td>
<td>varying</td>
</tr>
<tr>
<td>Orig. Clip</td>
<td>OA_15N</td>
<td>OA-15T</td>
<td>OA_50N</td>
<td>OA_15B</td>
</tr>
<tr>
<td>Proc. Clip</td>
<td>PA_15N</td>
<td>PA-15T</td>
<td>PA_50N</td>
<td>PA_15B</td>
</tr>
<tr>
<td>Length</td>
<td>5 min</td>
<td>8 min</td>
<td>3.5 min</td>
<td>3.5 min</td>
</tr>
<tr>
<td>Intelligible</td>
<td>Music fine, Voice okay</td>
<td>Music fine, Whisper voice good</td>
<td>Music fair, Loud voice okay</td>
<td>Music fine Voice good</td>
</tr>
</tbody>
</table>

(1). The speech vibration can be detected via any parts of the car (front, side, back, inside).
(2). The speech can be distinguished with the engine and/or music on.
(3). Whisper can also cause car body vibration that is readily detectable by the LDV.
(4) With retro-tape treatment, the speech is clearly intelligible; without retro-tape, human speech can be detected in short ranges, within about 15 – 20 meters, but the SNR will be very low when the target is far, e.g. above 50 meters. However, the human voice can always be identified at such distances without retro-tape.

6.2.4. Experiments on types of surfaces

We have tested many different surfaces, both indoors and outdoors, natural and man-made. In addition to car bodies, window frames, walls, doors, and the metal cake box we have shown above, we have also tested LDV measurements via traffic signs, building pillars, and lamp posts, with or without using retro-tapes (Figure 20, Table 6). For example, a black building pillar of a building in Figure 20 provides excellent LDV voice listening capability without any retro-tape treatment. Traffic signs and a wooden shed seem also to offer good media for carrying the voice signal from speakers to the LDV sensors.

We have found that the LDV could obtain signal returns for voice reading from most objects, without retro-tape treatment, if the distance between the sensor head and the target is short: within 10 meters for most objects, and within 100 meters for quite a few with good vibration and reflection, such as metal cake box with paint finish, traffic signs, etc. With retro-tape, the LDV works very well for almost all objects at large distances. The largest distance we have tested is more than 300 meters.

![Building Pillar, 10 m, without retro-tape](image1)
![Traffic Sign, about 40 m, laser on white paint](image2)
![Shed, 40 m, w/ retro tape](image3)
![Shed 40 m, w/o retro tape](image4)
![Lamp Post, 15 m with retro-tape](image5)

Figure 20. Targets in the environment: building pillar, traffic sign, wooden shed and lamp post.
6.2.5. Experiments on surface directions

In real applications, it is also important that the LDV can get a signal return when the laser beam aims at a target at various directions. In theory, the laser beam could be reflected back from the surface of an object by fine adjusting the targeting location in such a way that the surface normal on the scale of the wavelength of the laser beam is in the direction of the laser beam. This can be achieved by maximum the signal return of the LDV sensor when performing localization and focusing. However, in practice this could be very problematic since small physical movements of either the sensor or the targets may change the speckle patterns on rough surfaces [1]. This leads to very noisy signal, typically as white noise with large magnitudes. A very practical solution is retro-reflective tape or paint surface finishing, if allowed. Such retro-tapes (-paints) have the properties of reflecting lights from a wide range of directions. Figure 21 and Table 7 show an experiment of LDV voice detection through a normal mailbox with retro-tape treatment. The voice signals are still intelligible even when the angle between the surface normal and the laser beam is as large as 80 degrees.

Figure 21. Experiments on surface directions
Table 7. LDV listening vs. surface directions

<table>
<thead>
<tr>
<th>Angle</th>
<th>0°</th>
<th>45°</th>
<th>80°</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original Audio</td>
<td>OA_0d</td>
<td>OA_45d</td>
<td>OA_80d</td>
</tr>
<tr>
<td>Processed Audio</td>
<td>PA_0d</td>
<td>PA_45d</td>
<td>PA_80d</td>
</tr>
<tr>
<td>Intelligibility</td>
<td>Good</td>
<td>Fair to good</td>
<td>Fair to good</td>
</tr>
</tbody>
</table>

6.2. LDV performance analysis

In order to get a better understanding of the LDV performance, we performed two sets of experiments in the lab with controlled conditions, by controlling the voice sources and measuring several parameters of the LDV signal returns.

Figure 22. Targets: whiteboard, wooden door, concrete wall and a metal cake box

Table 8: LDV signal detection via different targets (Source audio clip 🎵/🎵)

<table>
<thead>
<tr>
<th>Retro Tape</th>
<th>Cake Box</th>
<th>Wooden Door</th>
<th>Concrete Wall</th>
<th>Whiteboard</th>
</tr>
</thead>
<tbody>
<tr>
<td>No tape</td>
<td>🎵/🎵</td>
<td>🎵/🎵</td>
<td>🎵/🎵</td>
<td>🎵/🎵</td>
</tr>
</tbody>
</table>

*A pair of audio clips, original and processed by our software, is listed in each case.

In the first set of experiments, we measured the signal return strengths, vibration magnitudes and “signal to noise ratio (SNR)” from various vibration targets, with and without retro-tapes. We used a standard computer speaker to play a 6 second audio clip from a presentation by Lt. Lee, and the sound vibrated objects in the lab. The objects we measured and compared are a small metal cake box, a whiteboard, a wooden door, and a concrete wall. The LDV laser beam was
pointed to each target and an auto focus was performed before each measurement. The distance of the targets to the LDV was within several meters (about 10 ft). Table 8 lists one set of the audio clips with the four types of targets, with and without retro-tape. A pair of audio clips, original and processed, is listed for each collection.

The Polytec LDV provides a function to get *signal return strengths* in the range of 0 to 512. Typically, the LDV audio signal will not be intelligible if the strength is lower than 10. The signal magnitude is measured as the average signal magnitude of the 6-second audio clip. This tells us the vibration magnitudes of the object with the source sound. In order to get a “signal to noise ratio (SNR)”, we also obtained LDV measurements under the same conditions, but without playing the audio source. This means that the vibration of the targets was caused only by background acoustic noises (e.g., air conditioning machine), physical noises (e.g. from movements of the sensor head and the targets) and optical/electronic noises. Then, a pseudo SNR could be obtained by taking the ratio between the average magnitudes of the LDV signals with and without playing the source voice clip.

Table 9 lists the LDV signal return strengths when the LDV audio clips in Table 8 were collected. It shows that the signal return strength was full for all four objects we tested when the retro-tape was used. This in fact indicates the reflection capability of the retro-tape. Without retro-tape, the signal return strengths indicate the reflection properties of the objects. The metal cake box (with paints), wooden door (with white paint), and the plastic whiteboard all have almost half full signal return. The signal return from the concrete wall (with white paint) is almost a quarter of the full strength. Note that all the measurements were performed at a distance of about 10 ft. Within that short distance, the voice signals without retro-tape treatment almost have the same quality as those with retro-tape treatment.

<table>
<thead>
<tr>
<th>Retro-Tape</th>
<th>Cake Box</th>
<th>Wooden Door</th>
<th>Concrete Wall</th>
<th>Whiteboard</th>
</tr>
</thead>
<tbody>
<tr>
<td>No tape</td>
<td>200</td>
<td>230</td>
<td>120</td>
<td>250</td>
</tr>
</tbody>
</table>

Table 9: Signal return strengths of the objects from 10ft (The full strength is 512)

Table 10 and Table 11 list the average signal magnitudes from the four objects, with and without retro-tape, and with and without playing original sound. In each case we performed the measurements twice, so two average numbers appear in each table entry. Clearly, the signal magnitudes with and without the meaningful sound source are comparable. This indicates that the background noises cannot easily be removed. However, if the magnitudes of the background noises mainly come from the low frequency part (below 300 Hz) and the high frequency part (above 3000 Hz), the bandpass filter will reduce them.
Table 10: Magnitudes of LDV signals (under measurement range 1mm/s/V)

<table>
<thead>
<tr>
<th></th>
<th>Cake Box</th>
<th>Wooden Door</th>
<th>Concrete Wall</th>
<th>Whiteboard</th>
</tr>
</thead>
<tbody>
<tr>
<td>Retro-Tape</td>
<td>200/149</td>
<td>175/177</td>
<td>78/75</td>
<td>86/75</td>
</tr>
<tr>
<td>No tape</td>
<td>200/202</td>
<td>155/131</td>
<td>105/95</td>
<td>82/113</td>
</tr>
</tbody>
</table>

Table 11: Magnitudes of background noises (under measurement range 1mm/s/V)

<table>
<thead>
<tr>
<th></th>
<th>Cake Box</th>
<th>Wooden Door</th>
<th>Concrete Wall</th>
<th>Whiteboard</th>
</tr>
</thead>
<tbody>
<tr>
<td>Retro-Tape</td>
<td>174/163</td>
<td>159/186</td>
<td>71/72</td>
<td>72/85</td>
</tr>
<tr>
<td>No tape</td>
<td>190/175</td>
<td>157/146</td>
<td>99/104</td>
<td>64/75</td>
</tr>
</tbody>
</table>

In the second set of experiments, we measured the above properties of the LDV with the cake box we have used in the above experiments at various distances in the corridor outside our lab (Figure 23). The distances from the lab to one end of the corridor is 100 ft (about 30 m), and to the other end of the corridor is 340 ft (about 100 m). In the first sub-set of the distance experiments, we had measurements both with and without the retro-tape on the cake box. In the second sub-set of the distance experiments, we only obtained measurements with retro-tapes.

Figure 23. Distance experiments in the corridor. The target we measured was the cake box. The distances from the sensor to one end of the corridor is about 100 ft (left), and to the other end is about 340 ft (right).

In the first sub-set of experiments, a human speaker read “LDV project experiment”. Table 12 lists the LDV signal return strengths in several distance configurations. Note that without retro-tape, the signal return strengths drop dramatically when the distance is above 50 ft, and at 100 ft the strength is below 10, which is the threshold for detecting meaningful vibration signals by the LDV. Note that this does not mean we cannot obtain meaningful LDV voice signal from a target above 100 ft without retro-tape treatment. We have successfully obtained voice signals from the cake-box at 300 ft (Table 2). However, as we have noted, a fine and tedious targeting and focusing of the laser beam needs to be performed so that the signal return strength is above the threshold. As a comparison the signal return strengths with retro-tape are also shown, which do not have significant decrease over distances. The average magnitudes with and without the voice
source are shown in Table 13 and Table 14. Again, the magnitudes of the signals and the noises are comparable. Note that at 100 ft the signal magnitudes are one order larger than other measurements, which indicates that the signals captured by the LDV are merely noises and therefore meaningless. For reference, the links of the original audio clips (with voice source played) are listed in Table 12, and the links of the high-reduction processed audio clips are listed in Table 13. Readers may play and compare the audio clips in Table 13 by clicking on the links, or may want to open the audio clips listed in Table 12 by the LDV program LDVProject.jar for an interactive audio/visual play.

Table 12: Signal return strengths of various distances (The full strength is 512)

<table>
<thead>
<tr>
<th></th>
<th>25ft</th>
<th>50ft</th>
<th>75ft</th>
<th>100ft</th>
</tr>
</thead>
<tbody>
<tr>
<td>Retro-Tape</td>
<td>512</td>
<td>512</td>
<td>460</td>
<td>400</td>
</tr>
<tr>
<td>No tape</td>
<td>50-100</td>
<td>14-30</td>
<td>10-30</td>
<td>10</td>
</tr>
</tbody>
</table>

Table 13: Magnitudes of voices at various distances (1mm/s/v)

<table>
<thead>
<tr>
<th></th>
<th>25ft</th>
<th>50ft</th>
<th>75ft</th>
<th>100ft</th>
</tr>
</thead>
<tbody>
<tr>
<td>Retro-Tape</td>
<td>70/76</td>
<td>92/89</td>
<td>157/172</td>
<td>162/175</td>
</tr>
<tr>
<td>No tape</td>
<td>74/68</td>
<td>148/87</td>
<td>166/136</td>
<td>1335/1828</td>
</tr>
</tbody>
</table>

Table 14: Magnitudes of noises at various distances (1mm/s/v)

<table>
<thead>
<tr>
<th></th>
<th>25ft</th>
<th>50ft</th>
<th>75ft</th>
<th>100ft</th>
</tr>
</thead>
<tbody>
<tr>
<td>Retro-Tape</td>
<td>50/57</td>
<td>83/81</td>
<td>160/137</td>
<td>109/141</td>
</tr>
<tr>
<td>No tape</td>
<td>48/46</td>
<td>58/78</td>
<td>121/125</td>
<td>1604/1778</td>
</tr>
</tbody>
</table>

In the second sub-set of distance experiments, we examined the longer range from 50 ft to 340 ft. We also roughly measured the LDV laser spot size on the target. In all distances, retro-tape was used. Table 15 lists the measurements. The original audio clips are listed in the signal strength column and the bandpass filtered and adaptive scaled audio clips are listed in the “voice magnitude” column. We have the following observations

(1) The spot size becomes significantly bigger at distances above 100 ft, and does not increase significantly within 350 ft, thanks to the super long lens and the auto focus function of the LDV sensor. We should note that even if the measurements of the sizes are made roughly by human eyes, the size correlates with the intelligibility of the audio signals. For example, since we have better focus of the laser beam at 250 ft than at 200 ft, the voice at 250 ft is actually better.

(2) The signal strength is almost full when the distance is below 100 ft, and is roughly half full at the distance from 100 to 350 ft. However, the strength number is much lower
(around 50) when the laser beam is not perpendicularly directed to the surface of the target and/or not focused well.

(3) The “SNR” is still about 1:1, but the audio signals are intelligible at distances up to 340 ft.

Table 15: Results of longer distances experiments (LDV velocity range 1mm/s/v)

<table>
<thead>
<tr>
<th>Distance</th>
<th>Spot Size (mm)</th>
<th>Signal Strength (of 512) (w/ orig. audio)</th>
<th>Noise Mags.</th>
<th>Voice Mags (w/ proc. audio)</th>
</tr>
</thead>
<tbody>
<tr>
<td>50ft</td>
<td>1</td>
<td>458</td>
<td>174</td>
<td>185</td>
</tr>
<tr>
<td>100ft</td>
<td>5-8</td>
<td>227</td>
<td>159</td>
<td>156</td>
</tr>
<tr>
<td>150ft</td>
<td>5-8</td>
<td>248</td>
<td>119</td>
<td>165</td>
</tr>
<tr>
<td>200ft</td>
<td>&gt;10</td>
<td>45</td>
<td>866</td>
<td>670</td>
</tr>
<tr>
<td>250ft</td>
<td>&lt;10</td>
<td>290</td>
<td>118</td>
<td>138</td>
</tr>
<tr>
<td>300ft</td>
<td>10</td>
<td>277</td>
<td>180</td>
<td>258</td>
</tr>
<tr>
<td>340ft</td>
<td>10-15</td>
<td>65</td>
<td>172</td>
<td>249</td>
</tr>
</tbody>
</table>

7. Discussions on Sensor Improvements and System Integration

Existing laser Doppler vibrometers are designed for use in laboratories (0-5m working distance) and field work in relatively medium ranges (5-200m). For distances above 100m it will be necessary to treat the target surface with retro-reflective tape or paint to ensure sufficient retro-reflectivity. Another difficulty is that such an instrument uses a front lens to focus the laser beam on the target surface in order to minimize the size of the measuring point. At 200m the spot size is about 12mm and very weak. At 1,000m the spot diameter would be 63mm and extremely weak.

In term of system integration, one of the interesting research issues is human centered technology for sensor and target allocation. The performance of the laser Doppler vibrometer strongly depends on the reflectance properties of the surfaces of the targets. The AR multimedia interface we have proposed provide a friendly platform to investigate the performance of the laser Doppler vibrometers on two types of targets – fixed facilities (cooperative targets) in the environment that vibrate with the human and/or vehicle nearby, and the moving subjects (uncooperative targets) themselves. Important issues like automated target detection, localization and LDV focusing need to be further studied. On the other hand, it is extremely important to improve the laser Doppler vibrometer’s performance in term of range and signal-noise ratio for
long-range surveillance. In the one-year study of this project, we have acquired the best LDV commercially available, with super long-range lens and automatic focus. Then we have mainly focused on the performance analysis with various possible targets (with and without retro-tape treatment), and on signal enhancement algorithms. We realize that sensor improvement is still needed for long-range voice detection.

In the following we will provide some initial thoughts along the two lines for enabling long-range perimeter surveillance.

7.1. Further research issues in LDV acoustic detection

For the capability to measure vibration at large distances, Scruby & Drain [1] made a very good description in the case of ultrasonic measurements. The discussion is also valid for voice detection. In application of laser interferometry, we are frequently concerned with surfaces that are not optically finished or are irregular on the scale of the wavelength of the light. Light scattered from different parts of the surface is not phase-related and is consequently diffused over a wide angle. In a given direction of scattering, the resultant may be considered as made up of contributions from a large number of independent sources having the same frequency but a random phase relation. In a slightly different direction, the relative phases change and the resultant is different. This gives rise to an irregular angular distribution of the intensity of the scattered light, a “speckle pattern” characteristic of monochromatic illumination. The size of the speckle is inversely proportional to the dimensions of the area illuminated. Assuming that there are no problems from atmosphere absorption or refractive index gradients, the sensitivity of reference-beam interferometry is limited by the signal to noise ratio

\[
\frac{<W_t^2>}{<W_N^2>} \propto \frac{W_{s0} \lambda^2}{r_0^2}
\]

assuming that the photon noise from the reference beam is the dominant source of noise. Note that this is only a theoretical bound for the LDV noise. In the above equation, \(W_{s0}\) is the mean light power per unit solid angle scattered from the whole illuminated spot in the direction of the detector (i.e. average over the speckles), \(r_0\) is the radius of the spot size, and \(\lambda\) is the wavelength of the laser. Therefore the LDV’s sensitivity depends on the laser power, the type of rough surface, and the focused spot size. We will raise four questions around these issues and give some brief discussions.

1. Could LDV be used for any surfaces at a large distance?

As we have found, almost all natural objects vibrate with normal sound waves. However, surface reflectance is a big issue. The relatively poor performance with a rough surface at a large distance is basically due to the fact that only a small fraction of the scattered light (approximately one speckle) can be used because of the coherence consideration. This problem can be minimized by the use of a highly convergent illuminating beam, thus reducing the spot size (the radius \(r_0\)) and consequently increasing the speckle size. This is probably the most practical approach, which has been used by our Polytect super-long lens OFV-505 LDV sensor head, and
has been proved to be much more effective (up to 300 ft without retro-tape, and up to 1000 ft with retro-tape) than all the other types of the LDVs of the same company. We feel that increasing the focus over a longer range (>1000 ft) still has potential, and truly intelligent targeting and focusing is an important research issue, since at large distances it is very hard for human eyes to see and focus the laser spot. We shall note here that this reduces the depth of focus and increases the sensitivity to the sideways displacement of the surface.

A more practical choice is to use surface treatment with the stat-of-the-art LDV sensors. A useful surface finish for obtaining good signal in LDV is retro-reflecting surface. With this the light is returned to the sources independently of the orientation of the surface, thus maximizing $W_{50}$. Retro-reflecting surface finishes are available as adhesive tapes and paints. The thickness of the finish is not a big issue for the low frequency acoustic signals. However, in many military applications, particularly in overseas airbase protection, applying retro-tape is not practical. Spaying painting might be a better approach. Shooting small retro-vibration bullets is another possible choice. With some smart way to augment surface finishes, LDV remote non-contact listening will be a more attractive alternative to microphone bugs since LDV obviates the need for a remote power supply and wired communication channels.

2. What will be the technical and economic challenges in changing the laser wavelength, e.g. from red to IR, or ultraviolet?

The change of the red laser to invisible such as IR and ultraviolet has several advantages. First, it satisfies the clandestine requirement. Second, some surfaces (e.g. windows) may have better reflection to wavelengths other than red. Third, changing the wavelength may increase the sensitivity (Eq. (1), Eq. (11)). From Eq. (1), decreasing the wavelength will increase the Doppler frequency shift, thus increasing the resolution of the LDV. However, from Eq. (11) this could decrease the signal to noise ratio thus decreasing the sensitivity. Therefore, further research needs to be performed to find the best wavelength for LDV voice detection applications.

The current laser Doppler vibrometry technology is shaped amongst other technologies, such as the advanced development of laser itself along with necessary optical components and FM-demodulation components that are available on the market. The laser used for Polytec OFV-505 is a helium neon (HeNe) laser. This gas laser produces a visible red laser beam ($l = 0.6328 \, \mu m$), and it is an extremely low-noise light source and therefore suited for vibration measurements. To the best of our knowledge, Doppler vibrometry has not yet been commercially available for IR or ultraviolet. If there is a driving force, we could find companies and/or institutions in IR or ultraviolet laser communities who will be interested in developing specialized Doppler vibrometry devices. From a technical standpoint of view, it is definitely a feasible idea. However, it might be very costly if the infrastructure is not in favor of developing.

3. How will the increase of laser power enhance the range capability of the LDV?

Current laser power is limited by eye-safety issues as dictated by laser industry standards. The Polytec OFV-505 uses a laser beam with power less than 1mW. One may increase the laser power to the upper limits of the standard up to 2 mW. This increase (from 1 or 1.5 mW to 2 mW) will only slightly increase the signal return strength and the sensitivity of the LDV (Eq. (11)), but these increases will not be significant unless breaking of the eye-safe limit is allowed. The
wavelength and capability of longer-range focus (above 1000 ft) will bring more benefit in the LDV sensitivity than only increasing the power. As we have shown, the tiny vibration magnitude by voice waves is detected by the LDV in terms of Doppler shifts. The resolution of the Doppler shifts that can be measured is directly related to the wavelength of the laser light. With an unreasonable Doppler shift resolution, no matter how many times of the laser power may be increased (thus increasing the SNR) it will not increase the performance, given that LDV can receive a reasonable reflected light. In contrast, by carefully focusing the laser light at long range, one could realize a drastic increase of performance quality.

4. Is it possible to have a handheld LDV for acoustic detection, which means the sensor or targets might be moving? Will speckle pattern be a major problem here?

Handheld LDV with high quality has been around on the market for a while (such as Polytec PDV 100). However, the portable versions of the LDVs can only be used for short range sensing and detection, typically within a few meters and with retro-tape treatment. For long-range applications, even if we can make the more advanced model such as Polytec OFV-505 as portable as PDV-100 it will still be problematic since any movement or jittering of LDV will be enhanced in a long distance, resulting in a faster movement of the laser beam. This will drastically increase the negative effect of speckle noise. In addition, the enhanced movement will cause apparent and unwanted velocity mixing with measurements by the LDV. The speckle problem is inherent with laser Doppler vibrometry technology, since the wavelength of the laser light is so short that almost any practical surface under test can be considered as rough. The roughness of a surface will cause constructive and destructive interference of reflected light rays, which forms randomly distributed light-dark patterns: speckle patterns [1]. The light sensor – a photo diode – cannot always get a continuous light intensity. So, whether working in short or long ranges, one has to deal with the speckle problem. For moving sensor and long range targets, the speckle problem will become more serious since long range measurement needs a increasing laser focus to reduce the spot size, but as a side effect also increases the sensitivity to the sideways displacement of the surface.

In conclusion, the LDV performance is dependent upon all the fundamental properties of the laser: monochromaticity, coherence, directionality, and high power density. Because of its ready availability and excellent optical characteristics, notably monochromaticity and coherence, the helium-neon laser has dominated much of the prior work in the field. However, a LDV system based on 633 nm helium-neon laser is somewhat limited with regard to maximum power (single mode stabilized < 3 mW, which controls sensitivity – Eq. (11)). Therefore, other more powerful laser systems are actively explored [1]. One choice is the argon ion laser, which can not only deliver higher power (up to 20 W), but is also inherently more sensitive because its shorter wavelength (488 nm or 514 nm), according to Eq. (1). The continuous wave (CW) Nd-YAG laser is also available as a solid-state option for interferometry in infrared or visible.
7.2. Multimodal integration and intelligent targeting and focusing

When using an LDV for voice detection, we need to find and localize the target that vibrates with voice waves and reflects the laser beam of the LDV, and then aim the laser beam of the LDV at the target. Multimodal integration of IR/EO imaging and LDV listening provides a solution for this problem. Ultimately this will lead to a fully automated system for clandestine listening for perimeter protection. Even when the LDV is used by a soldier in the field, automatic target detection, localization and LDV focusing will help the soldier to find and aim the LDV at the target for voice detection.

We have found that it is extremely difficult for a human operator to aim the laser beam of the LDV at a distant target and keep it focused. In the current experiments, the human operator turns the LDV sensor head in order to aim the laser beam at the target. The laser beam needs to be refocused when the distance of the target is changed. Otherwise the laser spot is out of focus. As a consequence, it is very hard for the human to see the laser spot at a distance above 10 meters, and it is impossible to detect vibration when the laser spot is out of focus. In a typical distance experiment, the target (or an “assistant” target), preferably with a retro-reflective tape pasted, needs to be held by hand and to be moved gradually from the sensor head to the designated distance, while trying to keep the laser spot on it. About several meters, the LDV needs to be refocused to make the laser spot clearly visible for human eyes. Even with the automatic focus function of the Polytec OFV-505 sensor head, it usually takes more than 10 seconds for the LDV to search the full range of the focus parameter (0 – 3000) in order to bring the laser spot into focus. Therefore automatic targeting and intelligent refocusing is one of the important technical challenges that deserve attention for long-range LDV listening. Future research issues include the following three aspects.

- **Target detection and localization via IR/EO imaging.** Techniques for detecting humans and their surroundings need to be developed for finding vibration targets for LDV listening. We have set up an IR/EO imaging system with an IR camera and a PTZ camera for this purpose.

- **Registration between the IR/EO imaging system and the LDV system.** Two types of sensors need to be precisely aligned so that we can point the laser beam of the LDV to the target that the IR/EO imaging system has detected.

- **Automated targeting and focusing.** Our current LDV system has real-time signal return strength measurements as well as the real-time vibration signals. The search range of the focus function can also be controlled by program. Algorithms could be developed to perform real-time laser focus updating by using the feedback of the LDV signal return strengths and the actual vibration signals. We could also make micro movement of the LDV sensor head to track the target to get the best signal return while performing automatic re-focusing.

8. Conclusions

The LDV is a non-contact, remote, and high-resolution (both in spatially and temporally) voice detector. In this one-year project, we have mainly focused on the experimental study on LDV-based voice detection. We also briefly discuss how we can use IR/EO imaging for target selection and localization for LDV listening. We have set up a system with three types of sensors (IR cameras, PTZ color cameras and LDVs) for performing integration of multimedia sensors in
human signature detection. The basic idea is to provide an advanced virtualized-reality based interface of the site (e.g., air base) to give the operator the best cognitive understanding of the environment, the sensors and the events.

We mainly discuss various aspects of LDVs for voice detection – basic principles and problems, signal enhancement algorithms, and experimental designs. We focus on the study of the human centered technology for LDV sensor information enhancement and clandestine listening. We have designed a graphic human computer interface for signal analysis, signal filtering, and signal synthesis. The graphic interface helps a user to understand the relation between the signals and noises in term of magnitudes and frequencies, and by signal synthesis (i.e. speech synthesis from the filtered laser Doppler vibrometry signals) the user can adaptively pick up the useful signals.

We investigate the possibility and quality of voice captured by LDV devices that point to the objects nearby the voice sources. We have found that the vibration of the objects caused by the voice energy reflects the voice itself. After the enhancement with Gaussian bandpass filtering and adaptive volume scaling, the LDV voice signals are mostly intelligible from targets without retro-reflective tapes at short distances (<300 ft, or 100m). By using retro-reflective tapes, the distance could be as far as 1000 ft (300 meters).

However, without retro-reflective tape treatment, the LDV voice signals are very noisy from targets at medium and large distances. Therefore, further LDV sensor improvement is required. With current state-of-the-art sensor technology, we realize that more advanced signal enhancement techniques need to be developed than the simple band-pass filtering and adaptive volume scaling. For example, model-based voice signal enhancement could be a solution in that background noises might be captured and analyzed, and models could be developed from the resulting data.

We also want to emphasize that automatic targeting and intelligent refocusing is one of the important technical issues that deserve attention for long-range LDV listening, since it is extremely difficult to aim a laser beam at a distant target and keep it focused. We believe that LDV voice detection techniques combined with the IR/EO video processing techniques can provide a more useful and powerful surveillance technology for both military and civilian applications.

9. References


